L Number	Hits	Search Text	DB	Time stamp
1	1209	((minor or teenager) or (subordinated or surrogat\$3) or	USPAT	2004/03/25 13:46
		affiliated or linked) near10 account	}	·
2	48511	(transfer\$) near10 (amount or funds)	USPAT	2004/03/25 13:47
3	151	(((minor or teenager) or (subordinated or surrogat\$3) or	USPAT	2004/03/25 13:48
		affiliated or linked) near10 account) and ((transfer\$) near10		
		(amount or funds))	·	
4	1534	account near10 transactions	USPAT	2004/03/25 13:49
5	4985.		USPAT	2004/03/25 13:49
6	66		USPAT;	2004/03/25 13:50
	(	affiliated or linked) near10 account) and ((transfer\$) near10	US-PGPUB;	
		(amount or funds))) and ((account near10 (record\$3 or	EPO; JPO;	
		bookkeeping)))	DERWENT	

reviewed text of repurses with kwie reviewed abstract reviewed drawings 3/25/04

# Dialog NPL search 09/579,787<C>

S1	10511	(SUBORDINAT? OR SURROGAT? OR AFFILIAT? OR LINKED) (5N) (AC-						
COUNT)								
S2	203376	(FUNDS OR MONEY OR AMOUNT) (4N) (TRANSFER?)						
<b>S</b> 3	237	S1 (S) S2						
54	17	S3 AND (MERCHANT OR VENDOR OR SELLER) (5N) (TRANSACTION)						
S5	<del>17</del>	S3 AND S4						
56	17	RD S5 (unique items)						
\$								

attached full list of requences fully reviewed 3/21/04

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? show files
                                                      Cuthon
File 350: Derwent WPIX 1963-2003/UD, UM &UP=200365
         (c) 2003 Thomson Derwent
File 344: Chinese Patents Abs Aug 1985-2003/Apr
         (c) 2003 European Patent Office
File 347: JAPIO Oct 1976-2003/Jun(Updated 031006)
         (c) 2003 JPO & JAPIO
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         (c) 2002 INPI.
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       2:INSPEC 1969-2003/Oct W1
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         (c) 2003 The HW Wilson Co.
File 233:Internet & Personal Comp. Abs. 1981-2003/Jul
         (c) 2003, EBSCO Pub.
File 256:SoftBase:Reviews,Companies&Prods. 82-2003/Sep
         (c) 2003 Info. Sources Inc
File 474: New York Times Abs 1969-2003/Oct 14
         (c) 2003 The New York Times
File 475:Wall Street Journal Abs 1973-2003/Oct 13
         (c) 2003 The New York Times
File 583: Gale Group Globalbase (TM) 1986-2002/Dec 13
         (c) 2002 The Gale Group
? ds
Set
        Items
                Description
                AU=(CHEONG, L? OR CHEONG L? OR MASON J? OR MASON, J? OR VO-
             GT, D? OR VOGT D?) AND (CREDIT()CARD? ? OR SURROGATE? ?)
S2
            4
                RD (unique items)
? t2/3, k/all
 2/3,K/1
             (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2003 Thomson Derwent. All rts. reserv.
014854642
WPI Acc No: 2002-675348/200272
Related WPI Acc No: 2001-281206
XRPX Acc No: N02-533994
  Proxy server for transparently controlling electronic commerce
                                                    us par App 20.1/0037292
  transactions assigns its own credit
                                       card number to a user without a
  card but with other funds to load the card account
Patent Assignee: COCA COLA CO (COKE )
Inventor: VOGT
Number of Countries: 099 Number of Patents: 001
Patent Family
Patent No
                             Applicat No
                                            Kind
              Kind
                     Date
                                                            Week
WO 200277756
             A2 20021003 WO 2002US6663
                                             Α
                                                 20020326
                                                           200272
Priority Applications (No Type Date): US 2001819521 A 20010327
Patent Details:
Patent No Kind Lan Pg
                         Main IPC
                                     Filing Notes
WO 200277756 A2 E 39 G06F-000/00
   Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA
   CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN
   IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ
  OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA
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115-Oct-0305:59 PM

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ZM ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW

Proxy server for transparently controlling electronic commerce transactions assigns its own credit card number to a user without a card but with other funds to load the card...

Inventor: VOGT D

Abstract (Basic):

name to modify the reference to the remote server and insert a reference to a surrogate server which is sent to the browser.

Allows users without credit cards to use on-line e-commerce

(Item 2 from file: 350) 2/3,K/2

DIALOG(R) File 350: Derwent WPIX

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013796994 \*\*Image available\*\* WPI Acc No: 2001-281206/200129 Related WPI Acc No: 2002-675348

XRPX Acc No: N01-200520

Surrogate control method for electronic commerce transactions, involves determining amount due to complete purchase transaction after selecting item and credit account in surrogate electronic system

Patent Assignee: ROCKETCASH CORP (ROCK-N); COCA-COLA CO (COKE ); VOGT D

Inventor: CHEONG L; MASON JA; VOGT DA; VOGT D

Number of Countries: 094 Number of Patents: 004

Patent Family:

Patent No Kind Date Applicat No Kind Date Week A2 20001207 WO 200073934 WO 2000US14767 A 20000526 200129 B AU 200053017 20001218 AU 200053017 Α 20000526 200129 Α US 20010037292 A1 20011101 US 99136734 Α 19990528 200168 US 2000579787 20000526 Α

US 2001819521 Α 20010327

A2 20020717 EP 2000937903 EP 1222561 20000526 200254 Α WO 2000US14767 A 20000526

Priority Applications (No Type Date): US 99136734 P 19990528; US 2000579787 A 20000526; US 2001819521 A 20010327

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200073934 A2 E 136 G06F-017/00

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW Based on patent WO 200073934

AU 200053017 A G06F-017/00

G06F-017/60 Provisional application US 99136734 US 20010037292 A1

CIP of application US 2000579787

EP 1222561. A2 E G06F-017/00 Based on patent WO 200073934 Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SI

Surrogate' control method for electronic commerce transactions, involves

215-Oct-0305:59 PM

determining amount due to complete purchase transaction after selecting item and credit account in surrogate electronic system

Inventor: CHEONG L ...

... MASON J A ...

... VOGT D A ...

... VOGT D

# Abstract (Basic):

The control method involves funding surrogate account in surrogate electronic system and accessing e-commerce system. After selecting an item for purchase from e-commerce system and credit account in surrogate electronic system, an amount due to complete purchase transaction is determined. Funds equal to amount...

.. a) system for surrogate control of e-commerce transaction...

...c) program for surrogate control of e-commerce transaction...

... For surrogate control for e-commerce transaction...

...Allows any one not having or not eligible for **credit card** to shop at on-line merchant electronic store fronts. The **surrogate** web site does not detract from the actual on-line shopping experience. Avoids need for...

 $\dots$  The figure shows the block diagram of  $\mbox{ surrogate }$  system for control of e-commerce system...

Title Terms: SURROGATE;

2/3,K/3 (Item 3 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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009658775 \*\*Image available\*\*
WPI Acc No: 1993-352326/199345

XRPX Acc No: N93-271749

Data card transaction terminal for data card e.g. credit card transactions - has embossed character reader and magnetic strip reader with separate signature capture device, and circuit responsive to card flag for communicating transaction information

Patent Assignee: MICROBILT CORP (MICR-N); NAT BANCARD CORP (NABA-N)
Inventor: BRADY M; CAVICCHI P R; CHOUDHURI K S; DEPEW T W; EVANS J C;
FRIEDMAN S K; HAMILTON J H; KLIGFELD E G; KRAHE H B; LINEY T J; MORTON M
A; NAIR P B; NOBLETT P W; PHILMON G A; PRICE J F; STILLS J T; TURNER L J;
VOGT D T; VOGHT D T

Number of Countries: 002 Number of Patents: 005

Patent Family:

Patent No	o Kind	Date	Applicat No	Kind	Date	Week	
CA 208657	72 A	19930711	CA 2086572	A	19921231	199345	В
US 535756	63 A	19941018	US 92820401	A	19920110	199441	
•			US 9379501	A	19930617		
US 540400	00 A	19950404	US 92820401	·A	19920110	199519	
			US 9379517	Α	19930618		
US 542821	LO A	19950627	US 92820401	A	19920110	199531	
US 547953	30 A	19951226	US 92820401	Α	19920110	199606	
			US 9385618	Α	19930630		

Priority Applications (No Type Date): US 92820401 A 19920110; US 9379501 A 19930617; US 9379517 A 19930618; US 9385618 A 19930630

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes CA 2086572 Α 233 G07F-007/08 80 HO4M-011/00 Div ex application US 92820401 US 5357563 Α 80 G06K-007/00 Div ex application US 92820401 US 5404000 Α 90 G06K-015/20 US 5428210 Α US 5479530 Α 75 G06K-009/00 Div ex application US 92820401 Div ex patent US 5428210

Data card transaction terminal for data card e.g. credit card transactions...

... Inventor: VOGT D T

... Abstract (Equivalent): USE - E.g. credit card transaction terminal

...merchant can receive and store authorisation data. The terminal reads an account number from a **credit card** input into the terminal and sends the data to the transaction processing host or the...

2/3,K/4 (Item 4 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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009658774 \*\*Image available\*\*
WPI Acc No: 1993-352325/199345

XRPX Acc No: N93-271748

System for operating data card terminals for transaction chargeback protection - comprises embossed character reader using tactile imager and pattern recognition, signature capturing printer, transaction processor with host computer system and magnetic stripe reader

Patent Assignee: NAT BANCARD CORP (NABA-N)

Inventor: BRADY M; CAVICCHI P R; CHOUDHURI K S; DEPEW T W; EVANS J C;
FRIEDMAN S K; HAMILTON J H; KLIGFELD E G; KRAHE H B; LINEY T J; MORTON M
A; NAIR P B; NOBLETT P W; PHILMON G A; PRICE J F; STILLS J T; TURNER L J;
VOGT D T

Number of Countries: 002 Number of Patents: 004 Patent Family:

Patent No Kind Date Applicat No Kind Date Week CA 2086570 Α 19930711 CA 2086570 Α 19921231 199345 US 5334823 Α 19940802 US 92819327 Α 19920110 199430 US 5386458 199511 Α 19950131 US 92819327 Α 19920110 US 93148831 Α 19931105 US 5432326 19950711 US 92819327 А 19920110 199533 US 94267280 Α 19940628

Priority Applications (No Type Date): US 92819327 A 19920110; US 93148831 A 19931105; US 94267280 A 19940628

Patent Details:

Patent No Kind Lan Pg Filing Notes Main IPC CA 2086570 Α 233 G06F-015/21 US 5334823 Α 86 G06F-015/21 US 5386458 Α 80 H04M-011/00 Div ex application US 92819327 Div ex patent US 5334823 US 5432326 Α 79 G06F-015/21 Cont of application US 92819327 Cont of patent US 5334823

- ... Inventor: VOGT D T
- ...Abstract (Basic): USE/ADVANTAGE For payment of goods and services using e.g. credit cards, debit cards, financial account cards. Conducts transactions that are chargeback protected to merchant using terminal...
- ...Abstract (Equivalent): USE/ADVANTAGE For operating a data card terminal, such as a **credit card** transaction terminal, providing chargeback protection services for benefit of merchants. Provides electronic and off-line...
- ... USE/ADVANTAGE- Credit card transaction authorisation. Provides transaction chargeback protection in favour of merchants that assures merchants that operating...
- ...The system is for operating a data card terminal, such as a **credit card** transaction terminal, which includes an embossed character reader
  and magnetic stripe reader, with a separate...

2

# Dialog NPL search 09/579,787<C>

show files;ds File 15:ABI/Inform(R) 1971-2004/Mar 25 (c) 2004 ProQuest Info&Learning 9:Business & Industry(R) Jul/1994-2004/Mar 24 File (c) 2004 Resp. DB Svcs. File 810:Business Wire 1986-1999/Feb 28 (c) 1999 Business Wire File 275: Gale Group Computer DB(TM) 1983-2004/Mar 25 (c) 2004 The Gale Group File 476: Financial Times Fulltext 1982-2004/Mar 22 (c) 2004 Financial Times Ltd File 610: Business Wire 1999-2004/Mar 25 (c) 2004 Business Wire. File 624:McGraw-Hill Publications 1985-2004/Mar 25 (c) 2004 McGraw-Hill Co. Inc File 636: Gale Group Newsletter DB(TM) 1987-2004/Mar 25 (c) 2004 The Gale Group File 621: Gale Group New Prod. Annou. (R) 1985-2004/Mar 25 (c) 2004 The Gale Group File 613:PR Newswire 1999-2004/Mar 25 (c) 2004 PR Newswire Association Inc File 813:PR Newswire 1987-1999/Apr 30 (c) 1999 PR Newswire Association Inc 16:Gale Group PROMT(R) 1990-2004/Mar 25 (c) 2004 The Gale Group File 160: Gale Group PROMT(R) 1972-1989 (c) 1999 The Gale Group File 634:San Jose Mercury Jun 1985-2004/Mar 24 (c) 2004 San Jose Mercury News File 148:Gale Group Trade & Industry DB 1976-2004/Mar 25 (c) 2004 The Gale Group File 20:Dialog Global Reporter 1997-2004/Mar 25 (c) 2004 The Dialog Corp. File 35:Dissertation Abs Online 1861-2004/Feb (c) 2004 ProQuest Info&Learning File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13 (c) 2002 The Gale Group File 65:Inside Conferences 1993-2004/Mar W3 (c) 2004 BLDSC all rts. reserv. 2:INSPEC 1969-2004/Mar W2 File (c) 2004 Institution of Electrical Engineers File 233:Internet & Personal Comp. Abs. 1981-2003/Sep (c) 2003 EBSCO Pub. File 474:New York Times Abs 1969-2004/Mar 24 (c) 2004 The New York Times File 475: Wall Street Journal Abs 1973-2004/Mar 24 (c) 2004 The New York Times File 99: Wilson Appl. Sci & Tech Abs 1983-2004/Feb (c) 2004 The HW Wilson Co. File 348:EUROPEAN PATENTS 1978-2004/Mar W02 (c) 2004 European Patent Office File 349:PCT FULLTEXT 1979-2002/UB=20040318,UT=20040311

Set Items Description

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File 347: JAPIO Nov 1976-2003/Nov(Updated 040308)